



- Use this form to change your name due to marriage, divorce, or other circumstance.
- This form cannot be used to change the name of a corporation or trust. For this request, please call 800-MAINSTAY (624-6782).
- To carry over your checkwriting privilege to your new account registration, please complete the MainStay Funds Money Market Checkwriting Service Form. This form can be found online at mainstayinvestments.com.

<b>For account balances less than \$100,000:</b>	<b>For account balances \$100,000 or more:</b>
<ul style="list-style-type: none"> <li>▪ Attach a <b>photocopy</b> of the appropriate name change document (Marriage Certificate, Divorce Decree, or Court Order), certified by the issuing authority.<sup>1</sup></li> </ul> <p style="text-align: center;"><b>OR</b></p> <ul style="list-style-type: none"> <li>▪ Provide signatures for both former and new names with a <b>Medallion Signature Guarantee.</b></li> </ul>	<ul style="list-style-type: none"> <li>▪ Attach a <b>certified</b> copy of the appropriate name change document (Marriage Certificate, Divorce Decree, or Court Order), certified by the issuing authority.<sup>1</sup></li> </ul> <p style="margin-left: 40px;">– To obtain proper certification, the name change document (or photocopy of the original) must be certified in ink by the issuing authority and bear an original certification seal or stamp. A notary public stamp is not an acceptable form of certification.</p> <p style="text-align: center;"><b>OR</b></p> <ul style="list-style-type: none"> <li>▪ Provide signatures for both former and new names with a <b>Medallion Signature Guarantee.</b></li> </ul>

**1 Current Account Information**

Name (Exactly as it appears on your statement.)

Social Security Number

Account Number(s)

Account Number(s)

**2 Name Change**

Former Name (Please print.)

New Name (Please print.)

is now known as

1. A driver's license is not an acceptable name change document.

**3 Substitute W-9 Certification**

Under penalties of perjury, I certify that:

1. The payee's TIN is correct,
2. The payee is not subject to backup withholding due to failure to report interest and dividend income,
3. The payee is a U.S. person, and
4. The payee is exempt from FATCA reporting.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the Certification, but you must provide your correct TIN.

Signature of Shareholder **(New name, exactly as requested.)**

X \_\_\_\_\_

**4 Signatures**

(For account balances of \$100,000 or more, if a certified name change document is not attached, a **Medallion Signature Guarantee** is required.)

Signature of Shareholder **(Former name, exactly as registered.)**

X \_\_\_\_\_

Signature of Shareholder **(New name, exactly as requested.)**

X \_\_\_\_\_

Daytime Phone Number (Required)

Date (MM/DD/YYYY)

The signature(s) must be Medallion Signature Guaranteed by an eligible guarantor institution as defined under the Securities Exchange Act to include generally: a bank, broker dealer, municipal securities dealer or broker, credit union, national securities exchange, registered securities association, clearing agency, or savings association. A notary public is not acceptable. Eligible guarantor institutions provide Medallion Signature Guarantees that are covered by surety bonds in various amounts. It is your responsibility to ensure the Medallion Signature Guarantee that you acquire is sufficient to cover the total value of your transaction(s). If the surety bond amount is not sufficient to cover the requested transaction(s), the Medallion Signature Guarantee will be rejected.

**Place Medallion Signature Guarantee below.**

**mainstayinvestments.com**

**For Assistance:**

Call your investment professional  
or call toll-free 800-MAINSTAY  
(624-6782 / option 2)

**Mailing Address:**

MainStay Investments  
P.O. Box 8401  
Boston, MA 02266-8401

**Overnight Express Address:**

MainStay Investments  
c/o BFDS  
30 Dan Road  
Canton, MA 02021-2809

MainStay Investments® is a registered service mark and name under which New York Life Investment Management LLC does business. MainStay Investments, an indirect subsidiary of New York Life Insurance Company, New York, NY 10010, provides investment advisory products and services. The MainStay Funds® are managed by New York Life Investment Management LLC and distributed through NYLIFE Distributors LLC, 30 Hudson Street, Jersey City, NJ 07302, a wholly owned subsidiary of New York Life Insurance Company. NYLIFE Distributors LLC is a Member FINRA/SIPC.